



2019 District Compliance Seminar

With the State of Pennsylvania • October 16 | Harrisburg, PA

Welcome and Opening Remarks

Wednesday, October 16, 2019

1:00 p.m. – 1:05 p.m.

Speaker: Bill Bell
District Director, Sales Practice
FINRA Philadelphia District Office

Speaker Biography:

Bill Bell is District Director for the FINRA Philadelphia office. In this role, he is responsible for the execution of the office's Examination and Surveillance departments as well as the overall management of the office. Prior to his promotion to Director in 2018, Mr. Bell was the Associate Director and an Exam Manager in the Philadelphia District office. Mr. Bell has also served as an examiner in the Philadelphia and Chicago offices. Mr. Bell graduated from Marist College with a BS in Business Administration and earned an MBA from Villanova University.



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Panelist

■ Speaker

- **Bill Bell, District Director, Sales Practice, FINRA Philadelphia District Office**