

# FINRA

## District Compliance Seminar With the State of Pennsylvania

October 16, 2019 | Harrisburg, PA



Continuing Education (CE) Information			
	Continuing Legal Education (CLE) CE Voucher	Certified Financial Planner (CFP) CE Reporting	Certified Regulatory and Compliance Professional (CRCP) CE Reporting
<b>Before/During</b> the Seminar	<ul style="list-style-type: none"> <li>Available to in-person attendees only.</li> <li>Prior to attending – provide CLE information in CVENT (during the registration process).</li> <li>During the seminar, stop by the CE Desk to pick up your voucher (CLE CE Information required: State and Bar Number).</li> <li>Vouchers are not available to attendees after the seminar.</li> </ul>	<ul style="list-style-type: none"> <li>Available to in-person attendees only.</li> <li>Prior to attending - provide the last four digits of your SSN and your CFP Board ID in CVENT (during the registration process).</li> <li>During the seminar, stop by the CE Desk to review if your SSN and CFP Board ID are in your seminar profile.</li> </ul>	
<b>After</b> the Seminar	<ul style="list-style-type: none"> <li>Voucher cards are for the specific person to whom they were awarded. The URL directs participants to a custom-build Web page, where National Academy of Continuing Legal Education (NACLE) will deliver the courses for CLE credit. All code redemptions are tracked.</li> </ul> <p><b>Note:</b> CLE Attendees will receive a 5 credit CLE Voucher from NACLE.</p>	<ul style="list-style-type: none"> <li>After October 30, 2019: If an attendee fails to provide FINRA with the last four digits of their SSN and their CFP Board ID, the attendee will be responsible for reporting their CE directly to the CFP Board.</li> </ul> <p><b>Note:</b> The 2019 FINRA District Compliance Seminar With the State of Pennsylvania was approved for 3.00 CFP CE Credits.</p>	<ul style="list-style-type: none"> <li>In order to earn 4 CRCP CE credits for this program, please report your attendance through the new CRCP CE Reporting System:</li> <li>Click on this link: <a href="https://crcp.finra.org">https://crcp.finra.org</a> to access the CRCP CE Reporting System.               <ul style="list-style-type: none"> <li>Use your FINRA CRCP Account username and password to log in.</li> <li>Click on the “My Credits” tab and select the Report Credit button.</li> <li>Type the name of the course into the Class Name field and select the appropriate class from the selection that appears at the bottom of the screen.</li> <li>Type in the date you completed the class.</li> <li>Click the Save button.</li> </ul> </li> </ul> <p><b>Note:</b> The 2019 FINRA District Compliance Seminar With the State of Pennsylvania was approved for 4 CRCP CE Credits. CRCP graduates are required to report 12 hours of CE every 3 years.</p>

For additional questions about continuing education reporting please contact [memberrelations@finra.org](mailto:memberrelations@finra.org).