

2019 FINRA Midwest Region Member Forum – Office Hours



Office Hours provide an opportunity for forum attendees to meet one-on-one with FINRA district staff. FINRA employees will be available for 15-minute appointments to answer questions and discuss firm-specific questions and issues. Attendees can make an appointment via the forum app or onsite at the App/CE Desk.

Thursday, October 3, 2019	Room - Ambassador	
10:00 a.m. – 11:00 a.m.	Michael Homer Surveillance Director, Sales Practice FINRA Chicago District Office Table 1	
11:00 a.m. – 12:00 p.m.	David (Dave) Kelley Surveillance Director, Sales Practice FINRA Kansas City District Office Table 1	Matthew (Matt) Reyburn Surveillance Director, Sales Practice FINRA Chicago District Office Table 2
1:00 p.m. – 2:00 p.m.	Shawn O'Neill Associate District Director, Sales Practice FINRA Chicago District Office Table 1	